

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Deen et al.

Serial No.:

Unassigned

Filed:

October 4, 2000

For:

EFFICIENTLY SENDING EVENT  
NOTIFICATIONS OVER A COMPUTER  
NETWORK) ) )  
) Art Unit  
) ) )JC913 U.S. PRO  
09/680122  
10/04/00INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 4th day of October, 2000.

Respectfully submitted,



Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707

AJL:em

G:\DATA\WPDOCSRN\MICROSOFT\OTHERDOC\1002 IDS\_155.doc

Applicant: Microsoft Corporation  
Serial No.:  
Filing Date: October 4, 2000  
For: EFFICIENTLY SENDING EVENT  
NOTIFICATIONS OVER A COMPUTER  
NETWORK

Att'y Docket No. 13768.155  
Group:



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Other Documents

Examiner

<u>Initial*</u>	<u>Document</u>
_____ A1	Cohen et al., INTERNET DRAFT – <i>General Event Notification Architecture Base</i> , Dated July 9, 1998.
_____ A2	Wechta, Jerzy, Martin Fricker, and Fred Halsall, <i>Hop-by-Hop Flow Control as a Method to Improve QoS in 802.3 LANs</i> , IEEE, 1999.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.